

10/564986

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Satoshi NIWANO et al. : Mail Stop: PCT

Serial No. NEW : Attorney Docket No. 2005\_1909A

Filed January 18, 2006 :

METHOD FOR JUDGING USE PERMISSION  
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THE COMMISSIONER IS AUTHORIZED  
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ACCOUNT NO. 23-0975

[Corresponding to PCT/JP2004/014996

Filed October 5, 2004]

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

each U.S. Patent and U.S. Patent application publication;

each reference previously cited in the international application PCT/\_\_\_\_\_;  
and/or

each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a.  This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

ATTACHMENT "D"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted  
after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:  
(1)  the certification of paragraph 2 below is provided, **or**  
(2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:  
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:  
**the certification of paragraph 2 below is provided, and**  
**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a.  a full or partial English language translation submitted herewith,
  - b.  a foreign patent office search report (in the English language) submitted herewith,
  - c.  the concise explanation contained in the specification of the present application at page 3,
  - d.  the concise explanation set forth in the attached English language abstract,
  - e.  the concise explanation set forth below or on a separate sheet attached to the reference:
5.  A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Satoshi NIWANO et al.

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January 18, 2006

Sheet 1 of 1

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2005\_1909ASERIAL NO.  
NEW

10/564986

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: January 18, 2006

APPLICANT  
Satoshi NIWANO et al.FILING DATE  
January 18, 2006

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0208681	11/2003	Muntz et al.			
	AB	6,185,684	2/2001	Pravetz et al.			
	AC	6,205,549	3/2001	Pravetz			
	AD	2002/0099947	7/2002	Evans			
	AE	6,304,715	10/2001	Abecassis			
	AF	2001/0055472	12/2001	Nakahara et al.			
	AG	6,351,813	2/2002	Mooney et al.			
	AH	2003/0012549	1/2003	Ohnuma			
	AI	2003/0122966	7/2003	Markman et al.			
	AJ	2002/033842	3/2002	Zetts			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AK	2003-51816	2/2003	JP			Abstract
	AL						
	AM						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AN	Secure Electronic Commerce: Building the Infrastructure for Digital Signatures and Encryption, entitled " <u>Digital Signatures</u> ", published by Warwick Ford and Michael Baum, Pearson Education, Co., Ltd., 1997, pages 109-110.
	AO	Secure Electronic Commerce: Building the Infrastructure for Digital Signatures and Encryption, entitled " <u>Certification Paths</u> ", published by Warwick Ford and Michael Baum, Pearson Education, Co., Ltd., 1997, pages 184-185.
	AP	Secure Electronic Commerce: Building the Infrastructure for Digital Signatures and Encryption, entitled " <u>Certificate Revocation Lists</u> ", published by Warwick Ford and Michael Baum, Pearson Education, Co., Ltd., 1997, pages 217-218.

EXAMINER

DATE CONSIDERED